

**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

U.S. PATENT & TRADEMARK OFFICE  
MAY 19 2003  
PATENT & TRADEMARK OFFICE  
ATTY. DKT. NO. 5838-00300  
APPLICANT: Murphy et al.  
FILING DATE: May 24, 2001

SERIAL NO. 09/864,510

GROUP: 3732

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

RL	AA1	T. Shiga et al. "Deformation of Polyelectrolyte Gels under the Influence of Electric Field" <i>Journal of Applied Polymer Science</i> , <b>1990</b> , 39, 2305.
	AA2	J. Bohm et al. "Endoventricular Patch Plasty for Restoration of Ventricular Geometry and Pump Function in Ventricular Aneurysm" <i>Z. Kardiol</i> , <b>1996</b> , 85, Supplement No. 4, 43-46.
	AA3	Di Donato et al., "Early Hemodynamic Results of Left Ventricular Reconstructive Surgery for Anterior Wall Left Ventricular Aneurysm" <i>The American Journal of Cardiology</i> , <b>1992</b> , 69, 886-890.
	AA4	V. Dor, "Left Ventricular Aneurysms: The Endoventricular Circular Patch Plasty" <i>Seminars in Thoracic and Cardiovascular Surgery</i> , <b>1997</b> , 9, No. 2, 123-130.
	AA5	V. Dor et al., "Ventricular remodeling in coronary artery disease" <i>Current Opinion in Cardiology</i> , Centre Cardio-Thoracique de Monaco, <b>1997</b> , 12, 533-537.
	AA6	A. D. Jatene, "Left Ventricular aneurysmectomy: Resection or reconstruction" <i>The Journal of Thoracic and Cardiovascular Surgery</i> , <b>1985</b> , 89, 321-331.
	AA7	K. Emmrich, "Contribution to the Discussion of the Lecture by J. Bohm, Berlin" <i>Z. Kardiol</i> , <b>1996</b> , 85, Supplement No. 4, 47-48.
	AA8	V. Dor, "Reconstructive Left Ventricular Surgery for Post-Ischemic Akinetic Dilatation" <i>Seminars in Thoracic Surgery</i> , <b>1997</b> , 9, No. 2, 139-145.
	AA9	T. Kono et al., "Left Ventricular Shape Is the Primary Determinant of Functional Mitral Regurgitation in Heart Failure" <i>JACC</i> , <b>Dec. 1992</b> , Vol. 20, No. 7, p. 1594-1598.
RL	AA10	G. E. Burch et al., "Angle of traction of the papillary muscle in normal and dilated hearts: A theoretic analysis of its importance in mitral valve dynamics" <i>American Heart Journal</i> , <b>July 1972</b> , Vol. 84, No. 1, p. 141-144.

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DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 809; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)

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<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5838-00300	SERIAL NO. 09/864,510
	APPLICANT: Murphy et al.	GROUP: 3732
	FILING DATE: May 24, 2001	

### U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
RL	BB1	5904680	05/18/1999	Kordis et al.			
	BB2	6221104	04/24/2001	Buckberg et al.			
RL	BB3	6544167	04/08/2003	Buckberg et al.			

### FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

RL	BB4	R. E. Michler et al., "Minimally Invasive Mitral Valve Replacement and Multivessel Coronary Artery Bypass Through a Limited Right Lateral Thoracotomy using a Balloon Aortic Cannula" <i>The Heart Surgery Forum</i> , 2001, Vol. 5, No. 1, p. 49-51.					
RL	BB5	V. Dor, "Left ventricular restoration by endoventricular circular patch plasty (EVCPP)" <i>Z. Kardiol</i> , 2000, Vol. 89, Suppl. 7, p. VII/70 - VII/75.					
RL	BB6	V. Dor et al., "Endoventricular Patch Reconstruction in Large Ischemic Wall-Motion Abnormalities" <i>J. Card Surg</i> , 1999, 14, 46-52.					
	BB7	V. Dor, M.D. et al. "Ventricular Remodeling in Coronary Artery Disease" <i>Current Opinion in Cardiology</i> , 1997, 533-537.					

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DATE CONSIDERED:

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# Electronic Information Disclosure Statement



## VENTRICULAR RESTORATION SHAPING APPARATUS AND METHOD OF USE

Application:



09/864510

Confirmation: 2445

Applicant(s): Gregory Murphy

Docket

5838-00300

Number:

Group Art

Unit:

Examiner:

Unknown

( 5923770 or 6205411 or 6366684 or 6109852 or 5944738 or 5725552 or 5846261 or 5334146  
or 2701559 or 5800528 or 3874388 or 3983863 or 4690134 or 4821723 or 4917089 or 4957477  
search string: or 4973300 or 5041130 or 5131905 or 5192314 or 5258000 or 5425744 or 5433727 or 5451235  
or 5507811 or 5797960 or 5846260 or 5411527 or 4938231 or 6179791 or 5702343 or 6162537  
or 6322588 or 6368356 ).pn.

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
PL	P01	5923770	1999-07-13		O'Donnell et al.		
PL	P02	6205411	2001-03-20		DiGioia, III et al.		
PL	P03	6366684	2002-04-		Gerard et al.		



			02		
R	P04	6109852	2000-08-29		Shahinpoor et al.
	P05	5944738	1999-08-31		Amplatz et al.
	P06	5725552	1998-03-10		Kotula et al.
	P07	5846261	1998-12-08		Kotula et al.
	P08	5334146	1994-08-02		Ozasa
	P09	2701559	1955-02-08		Cooper
	P10	5800528	1998-09-01		Lederman et al.
	P11	3874388	1975-04-01		King et al.
	P12	3983863	1976-10-05		Janke et al.
	P13	4690134	1987-09-01		Snyders
	P14	4821723	1989-04-18		Baker, Jr. et al.
	P15	4917089	1990-04-17		Sideris
	P16	4957477	1990-09-18		Lundback
	P17	4973300	1990-11-27		Wright
	P18	5041130	1991-08-20		Cosgrove et al.
	P19	5131905	1992-07-21		Grooters
	P20	5192314	1993-03-09		Daskalakis
V	P21	5258000	1993-11-02		Gianturco
R	P22	5425744	1995-06-		Fagan et al.

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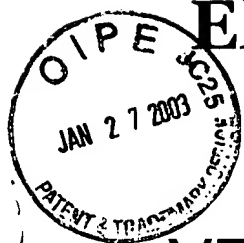


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P23	5433727	1995-07-18		Sideris	
P24	5451235	1995-08-19		Lock et al.	
P25	5507811	1996-04-16		Koike et al.	
P26	5797960	1998-08-25		Stevens et al.	
P27	5846260	1998-12-08		Maahs	
P28	5411527	1995-05-02		Alt	
P29	4938231	1990-07-03		Milijasevic et al.	
P30	6179791	2001-01-30		Krueger	
P31	5702343	1997-12-30		Alferness	
P32	6162537	2000-12-19		Martin et al.	
P33	6322588	2001-11-27		Ogle et al.	
P34	6368356	2002-04-09		Zhong et al.	

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Examiner Name	Date
	2/13/2003



# Electronic Information Disclosure Statement

T.H.  
2-20-03  
#14/Prior Art

## VENTRICULAR RESTORATION SHAPING APPARATUS AND METHOD OF USE

Application:   
09/864510

Confirmation: 2445

Applicant(s): Gregory Murphy

Docket Number: 5838-00300

Group Art Unit: 3732

Examiner: R. A. Lewis

search string: ( 5489296 or 5843177 or 5885228 or 6019739 or 6143012 or 6231601 or 6350281 or 5951543 or 6004329 or 6162168 or 6179971 or 6183411 or 6406420 ).pn.


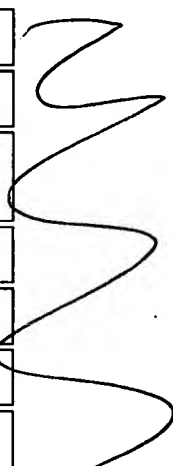
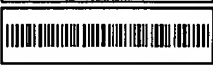





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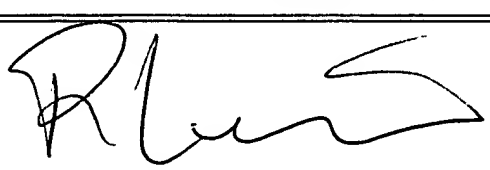
### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
RL	P01	5489296	1996-02-06		Love et al.		
	P02	5843177	1998-12-01		Vanney et al.		
	P03	5885228	1999-03-23		Rosenman et al.		
	P04	6019739	2000-02-01		Rhee et al.		
	P05	6143012	2000-11-07		Gausepohl		
V	P06	6231601	2001-05-15		Myers et al.		
RA	P07	6350281	2002-02-26		Rhee et al.		

P08	5951543	1999-09-14		Brauer
P09	6004329	1999-12-21		Myers et al.
P10	6162168	2000-12-19		Schweich, Jr. et al.
P11	6179971	2001-01-30		Krueger
P12	6183411	2001-02-06		Mortier et al.
P13	6406420	2002-06-18		McCarthy et al.

## Signature

Examiner Name	Date
	2/13/2003